

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

		•		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/667,685	09/22/2003	Mark A. Heldreth	DEP777NP	9399
27777 7590 01/11/2008 PHILIP S. JOHNSON JOHNSON & JOHNSON			EXAMINER	
			RAMANA, ANURADHA	
ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003			ART UNIT	PAPER NUMBER
	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		3733	
			MAIL DATE	DELIVERY MODE
		,	01/11/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
•	10/667,685	HELDRETH, MARK A.			
Office Action Summary	Examiner	Art Unit			
	Anu Ramana	3733			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
· _ ·	Responsive to communication(s) filed on <u>07 December 2007</u> .				
•=	, <del></del>				
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims					
4)  Claim(s) 1-4,10,12-17 and 28-37 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5)  Claim(s) is/are allowed.  6)  Claim(s) 1-4,10,12-17 and 28-37 is/are rejected.  7)  Claim(s) is/are objected to.  8)  Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
<ul> <li>9)  The specification is objected to by the Examiner.</li> <li>10)  The drawing(s) filed on <u>22 September 2003</u> is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of: <ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> <li>Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ol> </li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 9/14/07; 9/11/07.	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	ate			

Application/Control Number: 10/667,685

Art Unit: 3733

### **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on September 11, 2007 has been entered.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-4, 13-16 and 28-32 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 1, the preamble is vague and indefinite because it does not clearly recite structural features of the protector.

In claim 2, lines 18-21, and claim 16, lines 15-17, the structure being recited by Applicants' namely, "the first portion of the protector overlies at least a part......and a substantial part of the first portion." is unclear. Appropriate correction is required.

In claims 13-15, it is unclear how the mating positioning members are different from the previously recited positive locating features.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

Application/Control Number:

10/667,685 Art Unit: 3733

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 3, 4, 10, 12-15 and 17 are rejected under 35 U.S.C. 102(b) as being anticipated by Hershberger et al. (US 5,470,354).

Hershberger et al. disclose a system including: a sensor array 150 that is positively located with respect to the tibial provisional component or "trial" 84 and separable from trial 84; a clamping frame or protector 86; positive locating features, i.e., fasteners or studs 102 spaced from the concave edge contour of the top surface of trial 84 and received through recesses 98 in trial 84 so that the sensor array 150 is positively located with respect to trial 84 and protector 86 (Figs. 3, 5 and 7, col. 7, lines 46-67 and col. 8, lines 1-27 and lines 65-67, col. 9 and col. 10, lines 1-16).

# Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 28-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hershberger et al. (US 5,470,354).

Hershberger et al. disclose all elements of the claimed invention except for trial 84 to have concave and/or convex articulating surfaces (col. 7, lines 37-44).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to have provided concave and/or convex articulating surfaces on the trial to ensure slidable rotatable contact between the trial and the protector.

Application/Control Number:

10/667,685 Art Unit: 3733

# Response to Arguments

Applicant's arguments filed on December 7, 2007 have been fully considered. Applicant's arguments are not persuasive for the following reasons.

Hershberger et al. clearly disclose a protector 86 having curved convex and concave contours and a provisional component or "trial" 84 having curved contours with a sensor array 150 sandwiched between the curved contoured surfaces of protector 86 and trial 84 (Figs. 3 and 7).

The Examiner also notes that if the body of a claim fully and intrinsically sets forth all of the limitations of the claimed invention, and the preamble merely states, for example, the purpose or intended use of the invention, rather than any distinct definition of any of the claimed invention's limitations, then the preamble is not considered a limitation and is of no significance to claim construction. Pitney Bowes, Inc. v. Hewlett-Packard Co., 182 F.3d 1298, 1305, 51 USPQ2d 1161, 1165 (Fed. Cir. 1999). See also Rowe v. Dror, 112 F.3d 473, 478, 42 USPQ2d 1550, 1553 (Fed. Cir. 1997) ("where a patentee defines a structurally complete invention in the claim body and uses the preamble only to state a purpose or intended use for the invention, the preamble is not a claim limitation") ( per MPEP 2111.02).

Regarding claim 10, it is noted that Applicants' claim recites the limitation "a studextending between the protector and the surface adjacent the curved concave articulating surface of the first joint trial." Hershberger clearly discloses this limitation (Fig. 7).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anu Ramana whose telephone number is (571) 272-4718. The examiner can normally be reached Monday through Friday between 8:00 am to 5:00 pm.

10/667,685 Art Unit: 3733 Page 5

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached at (571) 272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AR January 7, 2008

ANURADHA RAMANA
ANURADHA RAMINER
PRIMARY EXAMINER
3700
PRIMARY CENTER 3700